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| TRANSMITTAL  |           | Filing Date   | October 22, 2  | 003  |                           |
| FORM   |           | First Named Inventor  | CHISHTI, MU  |  | ΔD                        |
| (to be used for all correspondence after initial fil   | ina)      | Art Unit  | 3732   | 1 IZIVIIVI   | <u> </u>                  |
| ADE Military   |           | Examiner Name   |  | INI I  |                           |
|  | T         | Attorney Docket Number  | WILSON, JOI  |  |                           |
| ` Total Number of Pages in This Submission   | 13        | Allomey bocket Number   | 018563-0058  | 20US   |                           |
|  | EN        | CLOSURES (Check all that  | apply)   |  |                           |
| Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Supplemental Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53 | Rem       | Drawing(s)  Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer Request for Refund  CD, Number of CD(s) (Reference Copies)  Account 20-1430. | to Ap of Ap of Ap (Ar Ap | Technologue Techno | osure(s) (please          |
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| or<br>Individual name James M. Heslin  |           | Reg.  | No. 29,541   |  |                           |
| Signature  |           |   |  | ·  |                           |
| Date September   | )4        |   |  |  |                           |
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Attorney Docket No.: 018563-005820US

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Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

TOWNSEND and TOWNSEND and CREW LLP

Tiffany Wu

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MUHAMMAD CHISHTI et al.

Application No.: 10/691,942

Filed: October 22, 2003

For: DIGITALLY MODELING THE **DEFORMATION OF GINGIVAL** TISSUE DURING ORTHODONTIC

TREATMENT

Examiner: WILSON, JOHN J.

Art Unit: 3732

SUPPLEMENTAL INFORMATION **DISCLOSURE STATEMENT UNDER 37** 

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Electronic copies of the references are enclosed on 1 CD-ROM disk.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

The references cited in the accompanying PTO/SB/08A and PTO/SB/08B, were indentified by either or both parties in litigation between Align Technology, Inc., assignee of the MUHAMMAD CHISHTI et al.

Application No.: 10/691,942

Page 2

above-referenced patent application, and Ormco Corporation in case no. SACV 03-16-GLT

(ANX) before the U.S. District Court, Central District of California, Southern Division, as being

potentially relevant to the design, fabrication, and/or use of removable orthodontic appliances

and/or to the use of computers or other automatic means for calculating final or intermediate

tooth positions for orthodontic treatment.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the

information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses

all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or

Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please

deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

James/M. Heslin Reg. No. 29,541

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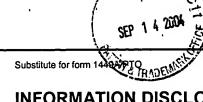
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Substitute for form AAPTO Complete if Known 10/691,942 **Application Number** INFORMATION DISCLOSURE Filing Date October 22, 2003 STATEMENT BY APPLICANT First Named Inventor CHISHTI, MUHAMMAD Art Unit 3732 (use as many sheets as necessary) **Examiner Name** WILSON, JOHN J. Sheet 10 Attorney Docket Number 018563-005820US

|                       | ļ                        | Document Number                          | Publication Date<br>MM-DD-YYYY |  |   |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Number Kind Code <sup>2</sup> (if known) |                                | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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| Examiner<br>Signature |                          | ,  |                                | Date<br>Considered                                 |   |

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| Application Number     | 10/691,942        |
| Filing Date            | October 22, 2003  |
| First Named Inventor   | CHISHTI, MUHAMMAD |
| Art Unit               | 3732              |
| Examiner Name          | WILSON, JOHN J.   |
| Attorney Docket Number | 018563-005820US   |

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|--------------------|--------------|---|-----------------------------|--|---|
| Examiner Initials* | Cite<br>No.1 | Document Number  Number Kind Code <sup>2</sup> (if known) | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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| Examiner Cite | Cite | For                       | eign Patent Doo     | ument                             | Publication Date | Name of Patentee or            | Pages, Columns, Lines,<br>Where Relevant |                  |
| Initials*     | No.1 | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       | Applicant of Cited<br>Document | Passages or Relevant<br>Figures Appear   | · Т <sup>6</sup> |
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| INFO      | DRMATION             | DIS    | SCLOSURE     | Filing Date            | October 22, 2003  |
| STA       | TEMENT B             | BY A   | PPLICANT     | First Named Inventor   | CHISHTI, MUHAMMAD |
|           |                      |        |              | Art Unit               | 3732              |
|           | (use as many she     | eets a | s necessary) | Examiner Name          | WILSON, JOHN J.   |
| Sheet     | 3                    | of     | 10           | Attorney Docket Number | 018563-005820US   |

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| STAT       | EMENT B            | Y A    | PPLICANT   | First Named Inventor   | CHISHTI, MUHAMMAD |
|            |                    |        |            | Art Unit               | 3732              |
| (4         | use as many she    | ets as | necessary) | Examiner Name          | WILSON, JOHN J.   |
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|-----------|------------|--|
| Signature | Considered |  |

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| Substitute for form 1449B/PTO     | Complete if Known      |                   |  |
|-----------------------------------|------------------------|-------------------|--|
| INFORMATION DISCLOSURE            | Application Number     | 10/691,942        |  |
| INFORMATION DISCLOSURE            | Filing Date            | October 22, 2003  |  |
| STATEMENT BY APPLICANT            | First Named Inventor   | CHISHTI, MUHAMMAD |  |
|                                   | Art Unit               | 3732              |  |
| (use as many sheets as necessary) | Examiner Name          | WILSON, JOHN J.   |  |
| Sheet 5 of 10                     | Attorney Docket Number | 018563-005820US   |  |

|  |   | NON PATENT LITERATURE DOCUMENTS  |   |  |  |  |
|--|---|--|---|--|--|--|
| Examiner Initials *  | Cite<br>No.1  | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  | Т |  |  |  |
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| STATEMENT BY APPLICANT            | First Named Inventor   | CHISHTI, MUHAMMAD |  |  |
|                                   | Art Unit               | 3732              |  |  |
| (use as many sheets as necessary) | Examiner Name          | WILSON, JOHN J.   |  |  |
| Sheet 6 of 10                     | Attorney Docket Number | 018563-005820US   |  |  |

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|   |                        |     |            | Art Unit               | 3732              |  |
| (use as many sheets as necessary) Sheet 8 of 10 |                        |     | necessary) | Examiner Name          | WILSON, JOHN J.   |  |
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